Deputy General Manager
Investor Awareness Division
Office of Investor Assistance and Education
Tel No.: 022-26449259, email: balak@sebi.gov.in

May 28, 2024 SEBI/HO/OIAE/IAD2/P/OW/2024/ \$2-52/1

To, Satya Mohan Mishra 8, Snt Nam Dev, Ssgmce Shegaon, Buldhana, Maharashtra 444203

Dear Sir/Madam,



- 1. With reference to your application to SEBI for seeking empanelment as SMARTs and completion of training SMARTs program on May 24 and 25, 2024, we are pleased to inform that SEBI has empanelled you as Securities Market Trainer (SMART) in terms of the SMARTs Operational Guidelines to conduct the Investor Awareness Programs across various identified target groups in different parts of the country. (Guidelines are available SEBI Investor website: <a href="https://investor.sebi.gov.in/join-us/smrtspanel.html">https://investor.sebi.gov.in/join-us/smrtspanel.html</a>)
- 2. Details of your empanelment are as under:
  - Period of empanelment: Your empanelment as Securities Market Trainer (SMART) is for a period from June 01, 2024 to May 31, 2025 or date of expiry of your NISM Series XII certificate, whichever is earlier. Details of your empanelment can be seen on the SEBI Investor website at the following link <a href="https://investor.sebi.gov.in/recognized-smart-individual.html">https://investor.sebi.gov.in/recognized-smart-individual.html</a>.
  - ii. Empanelment Number: SEBI/SM/IN/HO/MH/124
  - iii. Empanelment District: Buldhana and State: Maharashtra
- 3. You are advised to conduct Investor Awareness Programs (IAPs) in Securities Market for the existing and prospective investors and no money shall be collected from the participants for such IAPs. You are advised to contact investor awareness Division / Cell of Market Infrastructure Institutions (MIIs) viz. Stock Exchanges and Depositories to conduct IAPs.
- 4. Please note that the continuance of empanelment as Securities Market Trainers (SMARTs) is subject to satisfactory performance with respect to conducting IAP and compliance with the terms and conditions as mentioned in the Operational Guidelines for SMARTs.

Yours faithfully,

Ms. Bala Kumari